Commonwealth Bank

Share Transfer Form – For accounts in exactly the same name

Use this form to transfer existing holdings from another Broker or Share Registry (Issuer) to a Commonwealth Bank Geared Investment Loan account in **exactly the same name**. See definitions below for more information.

- **Issuer sponsored shares** are held directly with the issuing Share Registry and have an associated Shareholder Reference Number (SRN). SRNs are 11 digits long, usually begin with 'I' and are unique for each holding. **To transfer Issuer sponsored shares, complete Sections 1, 2 and 4.**
- **Broker sponsored shares** are held in a CHESS sponsored account with a Broker and have an associated Holder Identification Number (HIN). HINs are 10 digits long and begin with an 'X'. **To transfer Broker sponsored shares, complete Sections 1, 3 and 4.**

Please refer to the end of this document for submission instructions.

Section 1 – Account Details

Geared Investments Loan name

Loan number

Section 2 – Issuer Sponsored Share Transfer Request

Note: **Complete this section to transfer Issuer sponsored shares are held directly with the issuing Share Registry.**

For your transfer to be successful the registration details (name, address and designation) with the Issuer must exactly match the CHESS registration details on your trading account. Compare your accounts details against your Issuer Sponsored Holding Statement/s. If the registration details differ you will need to:

- Complete the 'Share Transfer Form - For accounts in a different name or to another party' instead; or
- Contact the Share Registry to change your registration details before we can process this transfer. When the Share Registry has notified you of the correction you will need to forward the confirmation and a copy of your previous Issuer Sponsored Holding Statement. If this is not done, transfers may be delayed or rejected.

Shares to be transferred

ASX Code (e.g. IAG)	Security Name (e.g. IAG Limited)	Shareholder Reference Number (SRN)	Quantity (No. of shares)

Note:

To transfer more than 10 different holdings, photocopy this page, complete and attach to this form.

It may be necessary to re-lodge your standing instructions with the Share Registry after some transfers. These include tax file numbers, banking details or dividend instructions.

Section 3 – Broker Sponsored Share Transfer Request

Note:

Complete this section to transfer Broker sponsored shares held in a CHESS sponsored account with another Broker.

Please also ensure that you have no outstanding orders or pending trade settlements for the investments you wish to transfer.

Existing Broker Details

Broker name

Participant Identification Number (PID)

Holder Identification Number (HIN)

Account name

New Broker Details

Broker name

Commonwealth Securities Limited

Participant Identification Number (PID)

1402

Holder Identification Number (HIN)

Transfer Instructions

☐ **Option 1** - Transfer all Broker sponsored holdings to the Participant (we will transfer your HIN)

☐ **Option 2** - Transfer only the following existing Broker sponsored holdings to the Participant

ASX Code (e.g. IAG)	Security name (e.g. IAG Limited)	Quantity (No. of shares)

Section 4 – Declaration and Acknowledgements

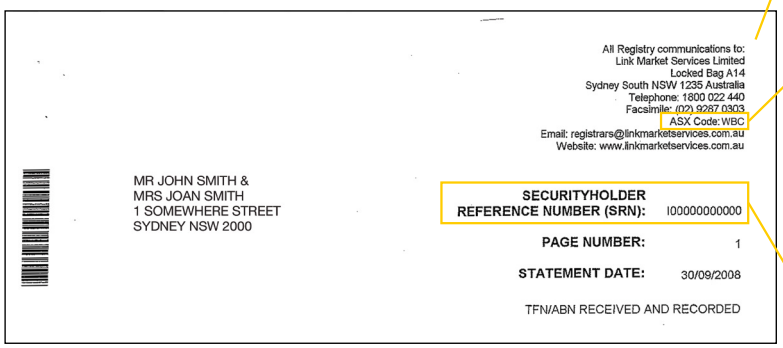
I/We:

- Authorise the Participant to transfer the holding/s specified above into my account as CHESS Participant sponsored holding/s in accordance with the Terms and Conditions of Participant Sponsorship Agreement.
- Agree to be sponsored by the Participant under the terms of the Participant Sponsorship Agreement. I/We have read and agree to accept and abide by the terms of the Agreement, and have been supplied with, read and understood the written explanation of the implications of those terms.
- In the event of any mismatch of registration details I authorise the Participant to make changes to my Holder Identification Number (HIN) registration details in accordance with the information on my Trading Account.

Account Holder/Director/ Secretary/Trustee 1	**Account Holder/Director/ Secretary/Trustee 2** (if applicable)	**Account Holder/Director/ Secretary/Trustee 3** (if applicable)
Full name	Full name	Full name
Date (DD/MM/YYYY)	Date (DD/MM/YYYY)	Date (DD/MM/YYYY)
Signature (must be signed pen to paper)	**Signature** (must be signed pen to paper)	**Signature** (must be signed pen to paper)
X	X	X

Tips for a Successful Transfer

Sample of an Issuer Sponsored Holding Statement



All Registry communications to:
Link Market Services Limited
Locked Bag A14
Sydney South NSW 1235 Australia
Telephone: 1800 022 440
Facsimile: (02) 9287 0303
ASX Code: WBC
Email: registrars@linkmarketservices.com.au
Website: www.linkmarketservices.com.au

MR JOHN SMITH &
MRS JOAN SMITH
1 SOMEWHERE STREET
SYDNEY NSW 2000

SECURITYHOLDER REFERENCE NUMBER (SRN): I00000000000

PAGE NUMBER: 1

STATEMENT DATE: 30/09/2008

TFN/ABN RECEIVED AND RECORDED

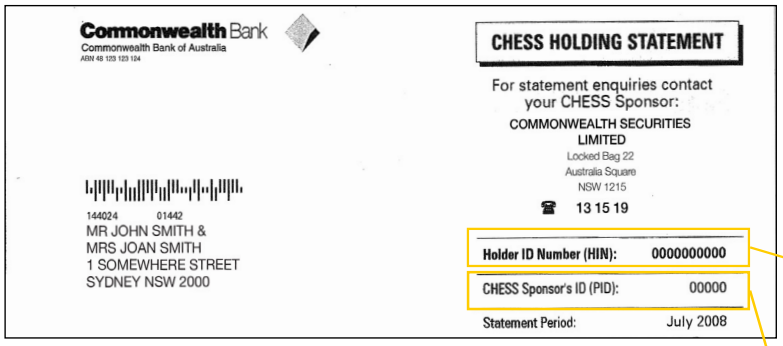
Issuer Sponsored Holdings are maintained by the Share Registry on behalf of the Issuer of your shares.

To convert your Issuer Holding we need to know the ASX Code and the number of units you would like us to convert.

Securityholder/Shareholder Reference Number (SRN) is a unique number that identifies you as an Issuer Sponsored holder with a listed company. An SRN usually starts with the letter 'I' e.g. I00012345678.

If you hold shares in more than one company and choose to be Issuer Sponsored, you will have a different SRN for each shareholding.

Sample of a CHESS Holding Statement



Commonwealth Bank
Commonwealth Bank of Australia
ABN 48 123 123 124

CHESS HOLDING STATEMENT

For statement enquiries contact your CHESS Sponsor:
COMMONWEALTH SECURITIES LIMITED
Locked Bag 22
Australia Square
NSW 1215
☎ 13 15 19

144024 01442
MR JOHN SMITH &
MRS JOAN SMITH
1 SOMEWHERE STREET
SYDNEY NSW 2000

Holder ID Number (HIN): 0000000000
CHESS Sponsor's ID (PID): 00000
Statement Period: July 2008

Broker Sponsored Holdings If your shares are Broker Sponsored, you will have a Holder Identification Number (HIN), which starts with an 'X'. You can be CHESS sponsored by more than one Broker or institution, and you can even maintain holdings in the same security under different sponsorships.

Holder Identification Number (HIN) is a unique number that you are issued when you are CHESS/Broker Sponsored Holder. Your HIN can register multiple securities under the one HIN. A HIN starts with the letter X and is followed by ten numbers e.g. 0002468123.

PID is an acronym for Participant Identification Number.
This is the Broker's identification number.
Our PID is 1402.

Commonwealth Bank Geared Investments Loan is issued by Commonwealth Bank of Australia ABN 48 123 123 124 AFSL 234945. This product is administered by Commonwealth Securities Limited ABN 60 067 254 399 AFSL 238814 (CommSec), a wholly owned but non-guaranteed subsidiary of the Commonwealth Bank of Australia. CommSec is a Market Participant of ASX Limited and Cboe Australia Pty Limited, a Clearing Participant of ASX Clear Pty Limited and a Settlement Participant of ASX Settlement Pty Limited.

How to submit your documents

Clients
Please provide your completed and signed form with relevant supporting documents to your adviser.

Advisers
Once completed and signed, please return the form by email to **mlconversions@cba.com.au**

gearedinvestments@cba.com.au **13 15 20** **commbank.com.au/gearedinvestments**