Commonwealth Bank

Additional/Assistant Adviser Authority

Please refer to the end of this document for submission instructions.

Section 1 – Additional/Assistant Adviser Details

Dealer Group Details (select one only)

☐ AFSL holder – AFSL number

Licensee name

Additional/Assistant Adviser Details

Title ☐ Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Other

First name (mandatory) | Middle name - if applicable | Surname (mandatory)

Other name/s commonly known by (if applicable) | Date of Birth (mandatory - DD/MM/YYYY)

Authorised representative number | Assistant adviser's company name

Additional/Assistant Adviser Contact Details

Residential address of the Adviser (Mandatory – Cannot be PO Box)

State Postcode Country

Registered address of the Business (Cannot be a PO Box)

State Postcode Country

Postal address of the Business (If left blank your registered business address will be used)

State Postcode Country

Email address (mandatory)

Mobile number (mandatory) | Work number

Additional email address

Section 1 – Additional/Assistant Adviser Details *contin.*

Employment Information

Refer to 'Job and Industry Classifications List' available on the website for the list of acceptable job categories and types.

Job category

Salesperson/Careworkers	Investment/Insurance

Employment Status

☑ Employed ☐ Self-Employed

Section 2 – Which adviser is the additional/assistant adviser added to?

By completing this section you will gain access to the nominated primary adviser's clients.

	Name of primary adviser you wish to have access to		Existing primary adviser number, adviser code or UserID
1.		1.	
2.		2.	
3.		3.	
4.		4.	
5.		5.	

Section 3 – Declaration and Acknowledgements

- I/We understand and acknowledge that the law requires applicants to provide true and correct information and state all the names by which they are commonly known. I/We also understand that the law prohibits the use of false names, as well as the giving, use or production of false or misleading information on documents in connection with an identification procedure. I/We declare that the information I/we have provided in this form is true and correct.
- I/We declare that the additional/assistant adviser nominated has appropriate qualifications, training and experience.
- I/We acknowledge the collection, use and exchange of our/my personal information for the purposes of managing and maintaining my/our relationship with you, including confirming my/our communicating with me/us, and complying with relevant laws and regulations.
- I/We understand that personal information collected, used or shared (including with third parties) will be in accordance with the terms outlined in the Group Privacy Statement available from the CommBank website. I/We have read through your Group Privacy Statement as it contains important information on how the Commonwealth Bank handles personal information and details on how to raise privacy concerns and complaints.
- We collect information from your identity documents (e.g. a driver's licence or passport) to verify your identity. We collect this information for the purposes of the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (Cth)*. We may disclose this information to credit reporting bodies, Commonwealth and state government departments and other verification partners who match your information with the information held by the issuer or official record holder via third party systems. You can find out more information about the operation and management of these services at the IDMatch website https://www.idmatch.gov.au/.

  Our Group Privacy Statement available at commbank.com.au contains details about:
  - how you may exercise your rights to access and seek correction of your information; and
  - how to make a complaint about the way we handle your identity information.

  If you do not want to be verified electronically, please contact us for alternative options of confirming your identity. This may still involve your information being checked with the document issuer or official record holder via third party systems.

  By signing, you:
  - acknowledge you understand that providing false or misleading information about your identity is an offence under the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006*;
  - confirm you are authorised to provide us the information in the identity document;
  - consent to your information being checked with the document issuer or official record holder via third party systems; and
  - consent to your information being disclosed to a credit reporting body and checked against information held by the credit reporting body.
- If you are authorised to provide the identity documents on behalf of another person, you consent on that person's behalf.
- You do not have to consent to electronic verification. If you do not want to be verified electronically, you have the option of attending a branch to be identified. This may still involve your information being checked with the document issuer or official record holder via third party systems.
- I/We have read and agree to accept and abide by the Terms and Conditions for the relevant product or service prior to providing any financial service. These, along with other legal documents are available on the Geared Investments website and I/we agree to access these documents from the website.

Section 3 – Declaration and Acknowledgements *contin*

Additional Adviser/Assistant Adviser Signature

Full name

Date signed (DD/MM/YYYY)

Signature (must be signed pen to paper)

X

Primary Adviser 1 Signature

Full name

Date signed (DD/MM/YYYY)

Signature (must be signed pen to paper)

X

Primary Adviser 2 Signature

Full name

Date signed (DD/MM/YYYY)

Signature (must be signed pen to paper)

X

Primary Adviser 3 Signature

Full name

Date signed (DD/MM/YYYY)

Signature (must be signed pen to paper)

X

Where to from here?

I have attached copies of the following documents:

All applicants

☐ Certified copies of Driver Licence issued in Australia (front and back of licence must be provided) or Passport

Commonwealth Bank Geared Investments Loans are issued by Commonwealth Bank of Australia ABN 48 123 123 124 AFSL 234945. This product is administered by Commonwealth Securities Limited ABN 60 067 254 399 AFSL 238814 (CommSec), a wholly owned but non-guaranteed subsidiary of the Commonwealth Bank of Australia. CommSec is a Market Participant of ASX Limited and Cboe Australia Pty Limited, a Clearing Participant of ASX Clear Pty Limited and a Settlement Participant of ASX Settlement Pty Limited.

How to submit your documents

Advisers

Send this form and all supporting documents to the email address listed below.

If you are required to send Original Certified Copies of documents please send via post to:

Geared Investments, Locked Bag 34, Australia Square NSW 1215

gearedinvestments@cba.com.au 13 15 20 **commbank.com.au/gearedinvestments**