Commonwealth Bank

New Adviser

Please refer to the end of this document for submission instructions.

Section 1 – Adviser Details

Title ☐ Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Other

First name (mandatory) | Middle name - if applicable | Surname (mandatory)

Other name/s commonly known by (if applicable) | Date of Birth (mandatory - DD/MM/YYYY)

Authorised Representative Number

Adviser's company name | ACN/ABN

Employment Information

Refer to 'Job and Industry Classifications List' available on the website for the list of acceptable job categories and types.

Job category: Salesperson/Careworkers | Job type: Investment/Insurance

Employment Status

☑ Employed ☐ Self-Employed

Contact Details

Email address (mandatory) | Mobile number (mandatory)

Home number | Work number

Residential address of the Adviser (Mandatory – Cannot be a PO box)

State Postcode Country

Registered address of the Business (Cannot be a PO Box)

State Postcode Country

Postal address of the Business (If left blank your registered business address will be used)

State Postcode Country

Principal place of the Business (Sole traders only. Cannot be a PO Box. If same as residential address, write 'As above')

State Postcode Country

Section 1 – Adviser Details continued

Dealer Group Details

☐ AFSL holder – AFSL number _____ Licensee name _____

Section 2 – Declaration and Acknowledgements

- I understand and acknowledge that the law requires applicants to provide true and correct information and state all the names by which they are commonly known. I also understand that the law prohibits the use of false names, as well as the giving, use or production of false or misleading information on documents in connection with an identification procedure.
- I declare:
  - That the information I have provided in this form is true and correct;
  - I have appropriate qualifications, training and experience;
  - I am an authorised representative of the Dealer Group;
  - I have the relevant authorisations from the Dealer Group for the services applied for under this form;
- I acknowledge that I am responsible to promptly inform the Bank if there is any change to my status as an authorised representative of an AFS licensee, or as a credit licensee or as a Director/ Employee or as a credit representative of a credit licensee as applicable.
- I acknowledge the collection, use and exchange of my personal information for the purposes of managing and maintaining my relationship with you, communicating with me, and complying with relevant laws and regulations. I understand that personal information collected, used or shared (including with third parties) will be in accordance with the terms outlined in the Group Privacy Statement available from the CommBank website. I have read through your Group Privacy Statement as it contains important information on how CommBank handles personal information and details on how to raise privacy concerns and complaints.
- We collect information from your identity documents (e.g. a driver's licence or passport) to verify your identity. We collect this information for the purposes of the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (Cth)*. We may disclose this information to credit reporting bodies, Commonwealth and state government departments and other verification partners who match your information with the information held by the issuer or official record holder via third party systems. You can find out more information about the operation and management of these services at the IDMatch website https://www.idmatchgov.au/.
- Our Group Privacy Statement available at commbank.com.au contains details about:
  - how you may exercise your rights to access and seek correction of your information; and
  - how to make a complaint about the way we handle your identity information.
- If you do not want to be verified electronically, please contact us for alternative options of confirming your identity. This may still involve your information being checked with the document issuer or official record holder via third party systems.
- By signing, you:
  - acknowledge you understand that providing false or misleading information about your identity is an offence under the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006*;
  - confirm you are authorised to provide us the information in the identity document;
  - consent to your information being checked with the document issuer or official record holder via third party systems; and
  - consent to your information being disclosed to a credit reporting body and checked against information held by the credit reporting body.
- If you are authorised to provide the identity documents on behalf of another person, you consent on that person's behalf.

Section 2 – Declaration and Acknowledgements continued

- You do not have to consent to electronic verification. If you do not want to be verified electronically, you have the option of attending a branch to be identified. This may still involve your information being checked with the document issuer or official record holder via third party systems.
- I have read and agree to accept and abide by the Commonwealth Banks Terms and Conditions for the relevant product or service, prior to providing any financial service. These along with other legal documents are available on the Commonwealth Bank Geared Investments Loan website and I agree to access these documents from the website.

Adviser

Full name	Date signed (DD/MM/YYYY)	Signature (must be signed pen to paper)
		✗

Where to from here?

I have attached copies of the following documents:

☐ Authorised Representative Certificate or Employee Representative Certificate; and

☐ Certified copy of Driver Licence issued in Australia (front and back of licence must be provided) or Passport

Commonwealth Bank Geared Investments Loans are issued by Commonwealth Bank of Australia ABN 48 123 123 124 AFSL 234945. This product is administered by Commonwealth Securities Limited ABN 60 067 254 399 AFSL 238814 (CommSec), a wholly owned but non-guaranteed subsidiary of the Commonwealth Bank of Australia. CommSec is a Market Participant of ASX Limited and Cboe Australia Pty Limited, a Clearing Participant of ASX Clear Pty Limited and a Settlement Participant of ASX Settlement Pty Limited.

How to submit your documents

Send this form and all supporting documents to the email address listed below.

If you are required to send Original Certified Copies of documents please send via post to:

gearedinvestments@cba.com.au

13 15 20 **commbank.com.au/gearedinvestments** **Geared Investments, Locked Bag 34, Australia Square NSW 1215**